



COMMERCIALLY USEFUL FUNCTION (CUF) PROJECT SITE REVIEW CUF FORM

11/2009
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Per 49 CFR 26.55, "A DBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved... A DBE does not perform a CUF if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of DBE participation..." This form is for the purposes of reviewing DBEs for compliance with the CUF requirements for credit.

Residency personnel will perform CUF reviews on DBE subcontractors. Perform a review for each DBE on a federally-assisted construction project. The review should be conducted when the DBE first begins work. Monitor compliance through the course of the project.

Project No.:	Residency:
County:	ODOT Reviewer:
Prime Contractor:	Reviewer Title
DBE Superintendent/Foreman:	Review Date:
DBE Start Date:	DBE Anticipated Completion Date:

DBE Name:

Provide a brief description of the DBE's scope of work.

<u>Supervision</u>	Yes	No
Does the DBE have a superintendent/foreman on project?	<input type="checkbox"/>	<input type="checkbox"/>
Does the superintendent/foreman work exclusively for the DBE?	<input type="checkbox"/>	<input type="checkbox"/>
If not, who does he/she work for?		
Who does the superintendent/foreman report to?		
<u>Employees</u>		
Does the DBE have employees on the job?	<input type="checkbox"/>	<input type="checkbox"/>
Do they appear on DBE payrolls?	<input type="checkbox"/>	<input type="checkbox"/>
If not, why?		
Do they appear on prime contractor's payroll?	<input type="checkbox"/>	<input type="checkbox"/>
Who Assigns work to them?		
<u>Performance</u>		
Has any other contractor performed any of the DBE's work?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, who and what work items?		
<u>Equipment</u>		
Whose name appears on the equipment?		
Does DBE own or lease equipment?	<input type="checkbox"/>	<input type="checkbox"/>
Does DBE use prime contractor's equipment?	<input type="checkbox"/>	<input type="checkbox"/>
<u>CUF</u>		
Does it appear the DBE is performing a CUF?	<input type="checkbox"/>	<input type="checkbox"/>
If DBE is not performing a CUF, contact the Regulatory Services Office at 405-521-6046		

COMMENTS:

Reviewer Signature

R. E. Signature

CUF DETERMINANTS

If any Red Flag Conditions are identified, contact Regulatory Services

PERFORMING

- DBE must be responsible for performing its own work on the project
- The work of the subcontract must be performed by the DBE with its own workforce
- The DBE keeps a regular workforce and has its own employees
- The DBE is utilizing its own equipment
- Operation of the equipment must be subject to the full control of the DBE

RED FLAGS

- A portion of the DBE's work being done by the Prime Contractor or jointly with another contractor
- Employee working for both the Prime and the DBE
- Equipment used by DBE belongs to the Prime Contractor or another contractor with no formal lease agreement
- Equipment signs and markings cover another contractor's identity
- Equipment has another contractor's name on it

RECORDS/DOCUMENTS

- Subcontract Agreement or Purchase Order
- Equipment ownership, rental, or lease documents
- Certified payrolls

MATERIALS (For material credit)

- DBE is responsible for the delivery of the materials
- DBE is ordering the material and invoices indicate that DBE is the customer
- Material invoices indicate that DBE owner or Superintendent is the contact person

RED FLAGS

- Materials for DBE credited work are delivered by the Prime Contractor
- Materials are ordered, billed to, and/or paid by the Prime Contractor
- Invoices do not indicate that DBE is the customer
- Prime's employee is listed as the contact person on invoices
- Materials come from Prime's stockpiles

RECORDS/DOCUMENTS

- Invoices
- Haul tickets or Bills of Lading
- Material on Hand documentation
- Joint check agreement
- Cancelled checks

SUPERVISING

- DBE supervisor is a full-time employee of the DBE
- Employees are being supervised by DBE supervisor
- DBE is scheduling work operations

RED FLAGS

- DBE's employees are being supervised by Prime Contractor or another contractor
- DBE provides little or no supervision of work
- DBE supervisor is not a full-time employee of the DBE

RECORDS/DOCUMENTS

- Certified Payrolls
- Document communication with DBE owner or Superintendent