

## DBE PROGRAM

### OBJECTIVES

To ensure nondiscrimination

To create a level playing field

To ensure the program is narrowly tailored

To ensure that only firms that fully meet the eligibility standards are permitted to participate as DBEs

To help remove barriers to participation

The Oklahoma Department of Transportation is mandated through 49 CFR 26 to provide a Disadvantaged Business Enterprise (DBE) Program. Therefore, the Oklahoma Department of Transportation in implementing this program will not directly or through contractual or other arrangements, use criteria or method of administration that have the effect of defeating or use criteria or method of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of the program with respect to individuals of a particular race, color, sex, or national origin.

### Mission Statement

The mission of the Regulatory Services Office is to maximize opportunities for socially and economically disadvantaged individuals to participate in the highway construction program by developing, administering and monitoring the external civil rights program as mandated by federal regulations.

### REGULATORY SERVICES

200 Northeast 21st Street

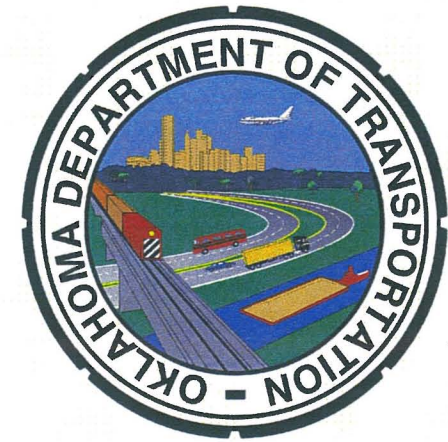
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**DISADVANTAGED  
BUSINESS  
ENTERPRISE  
(DBE) PROGRAM**

April 2008

**Disadvantaged Business Enterprise Program**



## ABOUT OUR PROGRAM

Transportation contracts funded with federal dollars and awarded by the following agencies must comply with Disadvantaged Business Enterprise (DBE) participation goals. The DBE Program applies to contracts awarded by:

Federal Highway Administration

Federal Transit Administration

Federal Aviation Administration

## DBE PROGRAM SERVICES

The DBE Program sponsored by ODOT provides three major services:

1. **DBE Certification**
2. **DBE Directory Listing**
3. **DBE Technical Assistance**

## DBE CERTIFICATION

An applicant firm seeking certification must meet the requirements of 49 CFR Part 26. The firm must meet small business size criteria. The majority owner(s) must be determined to be socially and economically disadvantaged.

## *Social Disadvantage Criteria*

Members of the following groups are presumed to be socially disadvantaged:

- Women
- Black Americans
- Hispanic Americans
- Native Americans
- Asian-Pacific Americans
- Subcontinent Asian Americans
- And those found to be Socially and Economically Disadvantaged by the SBA.

## *Economic Disadvantage Criteria*

The Personal Net Worth of the person claiming social disadvantage cannot exceed \$750,000.00 (excluding residence and the value of the business)

## *Additional Criteria*

DBE firms must also meet the following certification criteria:

- **Ownership**—Firm must be at least 51% owned and operated by socially and economically disadvantaged individual(s).
- **Management Control**—Firm must be managed on a day-to-day basis by the individual(s) determined to be socially and economically disadvantaged.
- **Business Size** – Firm must be a Small Business.

## DBE DIRECTORY

ODOT publishes a DBE Directory identifying all firms which have been certified as DBEs and eligible to participate in the Program. The Directory is updated monthly and provided on-line.

## DBE TECHNICAL ASSISTANCE

The following technical assistance is provided to ODOT certified DBE's.

- Business plan development
- Marketing plan development
- Web site development
- Training & development
- Financial plan development
- Workshops
- Individualized business training
- Bonding assistance

## *Regulatory Services Staff*

Asst. Division Manager: Susan McClune

Contract Compliance: Andy Penney

Contract Compliance: Linda Stoltz

DBE Reviewer: Katrina Fire

Supportive Services: Martha Garcia